

Pharmaceutical Pollutants in Water and the Revision of the EU Groundwater Directive Background Paper



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Significance of human and veterinary pharmaceutical pollutants in groundwater

A survey of numerous older and more recent scientific publications shows that residues from pharmaceuticals are increasingly found in the environment, including groundwater.

A workshop report published by the European Environmental Agency (EEA) in 2010 states that the status of environmental risks posed by pharmaceuticals “looks worse” than a decade earlier.¹ Widely recognized OECD tests show that 95% of the pharmaceuticals studied are not readily biodegradable.² Of the human pharmaceuticals examined, 15% are persistent in surface water.³ About 50% of the veterinary pharmaceuticals studies are persistent in soil.⁴ A large number of pharmaceutical substances and metabolites can be found in wastewater and surface water throughout Europe.⁵ Standard long-term tests conducted with fish, daphnia, and algae as test organisms revealed effects at pharmaceutical concentrations of less than 1 mg/l.⁶ At least one study describes changes in aquatic organisms at much lower concentrations of less than 0.001 mg/l.⁷ Other research has demonstrated that the toxicity of substance mixtures is at times substantially higher than the sum of the toxicity of each individual component.⁸ In the case of antibiotic mixtures, for example, total toxicity was as much as five times higher than the sum of the toxicity of the individual components.⁹ Aquatic organisms are thus especially threatened by the effects of multiple pharmaceutical pollutants. Data analyses from 2008 describe a relatively stable market for pharmaceutical substances in Europe, with slight increases in the amounts sold.¹⁰ Increases are documented for products that contain fluorine, which are quite persistent in the environment.¹¹ Due to the rising average age of the population in Europe, per capita consumption of pharmaceuticals is expected to rise.¹²

From the perspective of the EEA, more monitoring data is needed, especially on antibiotics, antiparasitics, hormones, analgesics, and psychotropic drugs in water bodies and in sediments.¹³ This should also include monitoring of groundwater after the relevant substances have placed on the market.¹⁴ Furthermore, environmental quality standards should also be defined.¹⁵ Special attention should be paid to those substances that are expected to effect the environment and are released in large quantities.¹⁶ This is true, for example, for so-called PBT substances, which are classified as persistent, bioaccumulative and toxic.¹⁷

Pharmaceutical residues and their metabolites have been demonstrated to be present in groundwater at least since the early 1990s.¹⁸ Although the concentrations of these substances observed in bodies of water have generally been below the levels permitted in food to protect human health, there is no proof so far that these levels do not harm aquatic organisms.¹⁹ There is a significant lack of knowledge about the environmental paths and effects of pharmaceuticals. As late as 2008, there were only very few studies on pharmaceutical residues in groundwater and on whether and to what extent detrimental effects could be observed,²⁰ although it has become possible to identify an increasing number of substances, thanks to improved analytical methods²¹. But for many of the approximately 2900 pharmaceutical substances on the market in Germany, such methods are still lacking²².

Pharmaceutical residues and their metabolites have been demonstrated to be present in groundwater since the early 1990s, at the latest. To date there is no proof that these residues do not harm aquatic organisms.

problematic, based on the ecotoxicological evidence.⁴¹ The researchers who conducted the UBA study propose the introduction of an efficient monitoring system for surface and groundwater, in order to achieve a more comprehensive survey of the pollution situation, as a basis for implementing appropriate measures⁴² In their opinion, veterinary pharmaceuticals classified as tetracyclines and sulphonamides are relevant for the proposed monitoring.⁴³ Furthermore, they recommend selecting the substances to be observed and the appropriate monitoring points based on use patterns and the probability of exposition, so that, for example, samples would be taken where with intensive agricultural use or intensive livestock farming or where surface water is known to be polluted.⁴⁴

The effects of pharmaceutical residues on groundwater fauna is another issue that calls for further study. In Germany, ongoing research in the realm of ground water as a habitat focuses on defining criteria for assessing the state of groundwater ecosystems. This work has lead to a preliminary proposal for defining ecological groundwater reference states⁴⁵. Switzerland has recently begun implementing systematic monitoring of groundwater habitats.⁴⁶ Available information from the studies conducted in Switzerland and Germany do not yet support an evidence-based answer to the question of whether pharmaceutical residues have effects on groundwater ecosystems. It is possible that these substances and their metabolites adversely affect fauna, especially in view of the special nature of groundwater ecosystems. The majority of the more than 2000 groundwater species discovered so far in Europe are microscopically small organisms that mostly live near the water surface. Some of these species have longer life spans than similar species in surface water and can be found in only one or in a small number of groundwater bodies.⁴⁷ At least one study from Italy has already confirmed that pharmaceutical residues have negative effects on groundwater organisms.⁴⁸

For surface and groundwater, researchers propose introduction of an efficient monitoring system to achieve a more comprehensive survey of the pollution situation and implement appropriate measures. In their opinion, veterinary pharmaceuticals classified as tetracyclines and sulphonamides are relevant for the proposed monitoring.

The effects of pharmaceutical residues on groundwater fauna calls for further study, since it is possible that pharmaceutical substances can adversely affect the fauna of groundwater ecosystems.

Although environmental water and individual environmental compartments and organisms (e.g. groundwater, fish, algae, daphnia) play a special role in the guidelines for environmental risk assessment, the Community Code lacks obligatory and detailed rules on water protection or references to water legislation. As a result, the effectiveness of standards pertaining to water protection remains vague; moreover, the Code leaves a large scope for decision-making up to the discretion of the relevant authorities. Not only legal experts have confirmed that these rules are ineffective⁵³. The actual practice of authorisation shows that environmental protection measures are generally limited to requiring manufacturers to include precautionary information in the patient information leaflet for certain products.⁵⁴ Whether product users implement these measures is not monitored, since such monitoring activities are precluded due to the wide dissemination of these medicinal products.

Veterinary pharmaceuticals

The Community Code Relating to Veterinary Medicinal Products (2001/82/EG), which has been revised and supplemented in recent years with the enactment of Directives 2004/28/EG and 2009/53/EG and Regulation 596/2009/EG covers the bringing onto the market of veterinary pharmaceuticals by EU member states. Among the products for which these rules do not apply are medicated feedingstuffs.⁵⁵ Authorisation procedures for veterinary pharmaceuticals include environmental risk assessment; depending on the results, precautionary measures may be required (see Annex 1, Title 1 and 2 of the consolidated version of the Community Code).⁵⁶ As in the case of standards for human pharmaceuticals, a two-phase process for assessing environmental risks also applies to veterinary pharmaceuticals; however, in contrast to human pharmaceuticals, veterinary drugs can be denied authorisation on the basis of their environmental effects. If a potential environmental exposition is identified in the first phase of the assessments conducted according to the guidelines,⁵⁷ for example, when the predicted environmental concentration (PEC) exceeds 100 mg/kg of soil, or the PEC introduced into water bodies exceeds 1 mg/l, the applicant must assess the possible ecological hazards, including hazards for aquatic organisms in surface and groundwater, in the second phase. If necessary, this should include further study of the effects of the pharmaceutical in water and aquatic systems. Article 1 (19) stipulates that environmental risks must be taken into account in analysis of the cost-benefit relation. Whether benefits outweigh risks – in other words, whether the ratio is determined to be positive – is, according to Article 30 a decisive criterion for authorisation of a specific pharmaceutical. Moreover, Article 33 stipulates that a member state can reject an assessment report if a significant environmental hazard is identified. The Commission has defined specific guidelines for such cases. Article 40 provides for suspension of authorisation and use of a pharmaceutical by a member state. Article 67 requires prescriptions in order to protect the environment, and Article 12 stipulates that applicants must install monitoring and, when necessary, risk management systems.

Authorisation of a pharmaceutical for human use cannot be denied for environmental protection reasons, including protection of water bodies, even if risk assessment shows that the environment will be seriously damaged as a result of the intended product use. At best, specific limitations can be prescribed with respect to bringing the product onto the market and use of problematic substances, in order to reduce risks.

In contrast to medicinal products for human use, veterinary pharmaceuticals can be denied authorisation because of its environmental effects.

Current provisions in water protection regulation aimed at limiting pharmaceutical pollution

Since the year 2000, Directive 2000/60/EG establishing a framework for Community action in the field of water policy, or Water Framework Directive (WFD) as it is usually referred to, defines goals and steps for protecting ground and surface water in the EU.⁶³ This directive is also relevant for limiting and avoiding pharmaceutical residues in groundwater. Although the WFD and the Groundwater Directive (the so-called WFD Daughter Directive) do not explicitly deal with pharmaceuticals, the provisions of these directives consider substances that endanger water. However, implementation of these provisions is to date inadequate.

Article 1 of the WFD states that its aim is to “ensure the progressive reduction of pollution of groundwater”. Article 4 identifies the goal of preventing further deterioration of the status of groundwater and states that good water quality should be achieved by 2015. Member states can, however, be exempted from achieving these goals. The WFD also states that measures must be taken to “reverse any significant and sustained upward trend in the concentration of any pollutant. According to Annex II paragraph 2 WFD, groundwater pollution was to have been described, in a first phase, by 2004; based on this survey, bodies of water that are at risk were to be identified. Subsequently, threshold values and quality standards for relevant pollutants were to be defined. Article 8 and Annex V stipulate that the relevant bodies of water were to be monitored, beginning in late 2006. The aim here was to determine if changes in the concentrations of these pollutants can be observed and the threshold values were met as a result of the measures implemented to protect aquatic environments. These measures were to be introduced in the form of programs and management plans. In keeping with Article 11 and Article 13 of the WFD, which were to be drafted by the member states by 2009 and revised every six years. According to Article 17 WFD, the standards for assessing the state of groundwater for reducing and avoiding pollution of groundwater on the basis of EU norms were to be developed further.

The Groundwater Directive, which was revised in 2006 (Directive 2006/118/EG)⁶⁴ includes in part the provisions called for in Article 17 of the WFD. Of relevance here is consideration of groundwater ecosystems (see principle 20) and the demands addressed according to Article 6 to the member states, including measures to be introduced against hormone-disruptive substances, CMR and PBT substances to prevent them from being introduced into bodies of water. These therefore also apply to pharmaceuticals. Environmental quality norms for the entire EU, which must be established according to Annex I, have to date only been defined for nitrate, biocides,

The European Water Framework Directive aims to progressively reduce groundwater pollution. Thus far, however, environmental quality norms have only been set for nitrate, biocides, and pesticides, but not for pharmaceuticals.

Revision of the Groundwater Directive: Provisions on pharmaceuticals in the current version

Review of Annexes I and II of the Groundwater Directive by the EU Commission was to have been completed by January 2013, according to relevant EU decisions, but completion of this task, including a hearing on the issues, will probably not occur until the second half of 2013.⁷³ Within the context of the Common Implementation Strategy (CIS) of the EU member states, Working Group 2 C has produced a technical report published in early 2012, which presents recommendations for revising Annexes I and II of the Groundwater Directive⁷⁴. The report is based on (preliminary) outcomes of an EU study on assessing climate change and land-use impacts on groundwater (GENESIS), on results from a survey of EU member states and stakeholders, and on other contributions made to a workshop.

The survey showed, on the one hand, that there are significant delays in implementing the measures set out in the Water Framework and Groundwater Directives. Data for 45% of the groundwater bodies are still insufficient to conduct a satisfactory risk assessment. Where evaluation has been completed, a large number of groundwater-threatening pollutants have been identified. So far, 26 member states have identified a total of 158 relevant pollutants or indicators and established threshold values for them. The document does not indicate whether pharmaceutical residues have been taken into account. With respect to the pollutants identified, more than 30% of the groundwater bodies will not meet the quality norms (compliance with threshold values) by 2015. Differences in applying the quality norms are apparent from country to country (e.g. comparison of the threshold value with the mean value or with the maximum value of pollutant concentrations observed in groundwater).

On the basis of the survey results, which revealed that a significant majority of the respondents did not identify a need for revision of Annexes I and II, the recommendations in EU report include the following:

- ▶ No new pollutants should be added to Annexes I and II
- ▶ No change to the current “minimum list of pollutants“
- ▶ Clarification of the exact application of the threshold values from Annex I (maximum or mean values),
- ▶ requirement to consider Directive 2008/105/EC (priority substances) when setting threshold values for groundwater bodies (in Annex II (Part B))

Conclusions and recommendations for action

Existing legal standards on water and groundwater are currently not being implemented with all due consistency with respect to pharmaceutical pollutants in water bodies. To date only rudimentary measures aimed at protecting groundwater from adverse pharmaceutical pollutants are in place; these preliminary measures must be implemented and supplemented. In the course of revising the Groundwater Directive, the options provided by water policy laws should be utilized to initiate further legislative action that ensures legally binding provisions that address the issues of pharmaceutical pollutants in groundwater and that more effectively and systematically identify and reduce the spread of pharmaceutical substances and their effects in groundwater ecosystems in European groundwater.

Examination of work so far on reviewing the Groundwater Directive and in this context especially the review of Annexes I and II reveals deficits with respect to procedures and content. For example, so far there are no signs that measures are being considered that are relevant to groundwater ecosystems. Available reports from the EU do not show whether environmental organisations were involved in consultations on the revision of criteria for assessing the good chemical state of groundwater. There have been delays in implementing key groundwater protection measures.

Although the deadline set in EU law for reviewing Annexes I and II of the Groundwater Directive has expired, the Commission has not yet present its conclusions (as of 26 April 2013). Digital information sources provided by the EU Commission's General Directorate for the Environment indicate that these conclusions will be available in the second half of 2013, at the earliest.⁷⁵

On the backdrop of the current state of European waters and in order to enhance protection of bodies of water from negative effects due to pharmaceutical pollutants, PAN recommends that the following steps be undertaken in revising the Water Framework Directive and Groundwater Directive.

Recommendations for a revised version of the Groundwater Directive and for enhanced protection of bodies of water against human and veterinary pharmaceutical pollutants

► **Systematic identification of pharmaceutical pollutants by means of the monitoring programmes of the Water Framework Directive (WFD)**

Systematic identification and transparent publication of monitoring data, especially on antibiotics, antiparasitics, hormones, analgesics, and psychotropic drugs in water bodies, sediments, and soils is an important prerequisite for compiling a comprehensive survey of the probability and the extent of groundwater pollution with pharmaceuticals. The review of the status of water pollution begun under the provisions of the Water Framework Directive in 2013 provides an opportunity for implementing these steps. Moreover, these activities should be coordinated with the EU Commission's task of studying the environmental effects of pharmaceutical pollution, which has not yet begun. The strategy to also reduce the influx of pharmaceuticals, which will probably be called for as part of the current revision of the Directive on Priority Substances, should be used to collect further data on this basis. In the course of implementing these measures, relevant pharmaceu-



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